

**10/586079**  
**IAP11 Rec'd PCT/PTO 14 JUL 2006**

Attorney Docket # 5255-101PUS

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Patent

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re National Phase PCT Application of

Horst TILLMANN

Int'l PCT Serial No.: PCT/EP2005/000440

Int'l Filing Date:: 18 January 2005

For: End Cap

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop PCT  
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Alexandria, VA 22313-1450

S I R:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO/SB/08A. Copies of the listed references are also enclosed.

The listed references were cited in the Search Report dated April 1, 2005 for the underlying International Application No. PCT/EP2005/000440, a copy of which is enclosed.

In accordance with 37 C.F.R §§1.97(g) and (h), the filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise

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indicated, the date of publication indicated for an item is taken from the face of the item and Applicant reserve the right to prove that the date of publication is in fact different.

It is respectfully requested that the above information be considered by the Examiner and that the copy of the enclosed Form PTO/SB/08A be returned indicating that such information has been considered.

If any fees or charges are deemed required at this time in connection with the application, the same may be charged to our Patent and Trademark Office Deposit Account No. 03-2412.

Respectfully submitted,

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Dated: July 14, 2006

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PTO/SB/08A (04-03)

Approved for use through 04/30/2003. OMB 0651-0031

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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>(Use as many sheets as necessary) |                        | <b>Complete if Known</b> |                       |
|   |                        | Application Number       | Not Yet Assigned      |
|   |                        | Filing Date              | Concurrently Herewith |
|   |                        | First Named Inventor     | Horst TILLMANN        |
|   |                        | Art Unit                 | --                    |
| Examiner Name   |                        | --                       |                       |
| Sheet 1 of 2  | Attorney Docket Number | 5255-101PUS              |                       |

## U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number<br>Number-Kind-Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|--------------------|-----------------------|---|--------------------------------|--|---|
|                    |                       | US-2,920,338  | 01-12-1960                     | Falk   |   |
|                    |                       | US-6,199,321  | 03-13-2001                     | Ginzel   |   |
|                    |                       | US-6,446,394  | 09-10-2002                     | Finkel et al.                                      |   |
|                    |                       | US-   |                                |  |   |
|                    |                       | US-   |                                |  |   |
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|                    |                       | US-   |                                |  |   |
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## FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document<br>Country Code <sup>3</sup><br>Number <sup>4</sup> Kind-Code <sup>5</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant Figures<br>Appear | T <sup>6</sup>          |
|--------------------|-----------------------|---|--------------------------------|--|---|-------------------------|
|                    |                       | DE 100 23 761   | 11-22-2001                     | Geze GmbH  |   | ✓, See English Abstract |
|                    |                       | WO 2004/063514  | 07-29-2004                     | Dorma GmbH   |   | ✓, See English Abstract |
|                    |                       |   |                                |  |   |                         |
|                    |                       |   |                                |  |   |                         |
| Examiner Signature |                       |   | Date Considered                |  |   |                         |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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|   | Application Number       | Not Yet Assigned      |
|   | Filing Date              | Concurrently Herewith |
|   | First Named Inventor     | Horst TILLMANN        |
|   | Art Unit                 | --                    |
|   | Examiner Name            | --                    |
| Sheet 2 of 2  | Attorney Docket Number   | 5255-101PUS           |

| NON PATENT LITERATURE DOCUMENTS |                       |   |                |
|---------------------------------|-----------------------|---|----------------|
| Examiner Initials*              | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|                                 |                       | Search Report dated April 1, 2005 for the underlying International Application No. PCT/EP2005/000440  |                |
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